



TERRY E. BRANSTAD, GOVERNOR
KIM REYNOLDS, LT. GOVERNOR

IOWA DEPARTMENT OF COMMERCE
DIVISION OF CREDIT UNIONS
JOANN JOHNSON, SUPERINTENDENT

Memorandum

To: Administration and Regulation Appropriations Subcommittee
CC: Dwayne Ferguson/Legislative Service Bureau
From: JoAnn Johnson/Credit Union Division/Commerce Department
Date: 1/28/2013
Re: Requested Information

1. How many employees do you have? What's the five year trend for staffing levels?

The Credit Union Division currently has 15 employees. In anticipation of our most senior examiner retiring in May, we hired an examiner 6 months ago in order to begin cross-training. Another examiner has requested to go half time beginning in June, therefore we are monitoring work load and whether we will need to add an examiner. Though staffing has had a downward trend over the last five years, due to the reduction in the number of credit unions, I see it stabilizing or potentially increasing slightly if increased complexity calls for additional examiner expertise and contact hours.

2. How are the above employees classified? How many are exempt, non-exempt and collective bargaining?

The above employees are classified as examiners, superintendent, staff attorney, PSE, ITS, and a secretary. All employees are exempt and non-merit.

3. How many of your FTE's are federal funded?

We have no federally funded FTE's.

4. How many Federal grants does your agency receive and what's the matching rate? What's the future matching rate anticipated to be? How much Federal funding?

We receive no Federal grants.

5. How many early retirements were taken?

None

6. Of those openings, how many have been filled?

N/A

7. What are your ideas to make service delivery in your Department more efficient?

We have moved our IT over to DAS, reducing expenses and gaining a more secure service. We now send exam reports to the financial institutions via secure e-mail, reducing printing and mailing expense.

8. Share the progress of your Department's "Lean" projects.

N/A

9. How much of your revenue comes from other state agencies?

None

10. How much of your revenue comes from fees? 100%

11. What has been your five year budget trend?

The budget has been status quo for several years. FY 12 we were allowed \$65,000 in increased spending authority to hire an additional examiner. Through the efficiencies mentioned above, plus close monitoring of travel and office expenses, we have been able to maintain within our current spending authority.

12. Regarding current FY 13, how does your current budget situation look? Do you expect any savings?

We expect to be able to operate within our current budget. Savings from the IT transfer and one staff retirement have been factored into the budget.

13. Have there been any recent reclassifications of individuals in your department? How many and what were the changes?

There have not been any recent reclassifications.

14. In regard to monetary bonuses, how do you measure employee outcomes?

I don't award bonuses, however I review new employees at the conclusion of their six month probationary period and do an annual review of all other employees, to

determine if work product or new steps achieved through additional training warrant merit raises.